



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/508,403	09/21/2004	Shinichiro Yamada	7217/69210	8844
530 7590 01/09/2007 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK 600 SOUTH AVENUE WEST WESTFIELD, NJ 07090			EXAMINER BRUNSMAN, DAVID M	
			ART UNIT	PAPER NUMBER
			1755	
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		01/09/2007	PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

## Office Action Summary

Application No.

10/508,403

Applicant(s)

YAMADA ET AL.

Examiner

David M. Brunzman

Art Unit

1755

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 11 December 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-3,5-13 and 15-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3,5-13 and 15-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

Art Unit: 1755

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 11 December 2006 has been entered.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 18 and 19 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The terms "UL-94HB standards" and "UL-94VO standards" render the scope of the claim indefinite because the terms do not positively identify the source of the standards or the version relied upon. Further, the definition of the standards is subject to modification with time. This defect compels the office to construe claims 18 and 19 to the broadest reasonable extent such that the recited terms lend little patentable weight to the claims.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-3, 5-7, 10-13, 15, 18 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 6107378 in view of US 6512174 and US 5872169.

The '378 patent teaches a method for forming housings for electronic appliances by compounding a biodegradable resin such as polylactic acid and a hydrolysis inhibitor such as an isocyanate or a carbodiimide to maintain mechanical strength. See examples 4-6. The

Art Unit: 1755

difference between that patent and the instant claims is the addition of a flame retardant such as high purity magnesium hydroxide having a BET surface area less than 5 m<sup>2</sup>/g. The '174 patent teaches that flame retardants including magnesium hydroxide can be added to similar resins. (See column 5, line 29). It would have been obvious to one of ordinary skill in the art to add a magnesium hydroxide flame retardant to the composition of the '378 patent for that reason. The '169 patent teaches a process for making substantially pure magnesium hydroxide that performs exceptionally well as a flame retardant for resin having a BET surface area of 0.9-3.5 m<sup>2</sup>/g. See examples 1-14 and column 1, lines 9-11. It would have been obvious to one of ordinary skill in the art to select a magnesium hydroxide like that of the '169 patent because it teaches they perform particularly well.

The percentage of hydroxide compound recited in claim 15 would have been obvious to one of ordinary skill in the art for the following reasons. Clearly, only simple experimentation would be required, on the order of mixing a series of compositions having different proportions of a known flame retardant, for one of ordinary skill in the art to obtain an optimal amount. Routine experimentation is within the level of ordinary skill in the art. Second, US 6512174 supports a finding that the art recognizes selection of the proportion of flame retardant being within the level of ordinary skill in the art in that column 5, lines 15-42 recite the possible addition of various known additives to polylactic acid polymers without the necessity of recited particular amounts. Third, US 5258422, includes claim 18, assumed to be found fully enabled by the inventor thereof and the patent office, reciting addition of flame retardants to polymer compositions which is supported only by specification disclosure of flame retardant leaving the specific amounts up to the technician. Nor, does the instant specification exhibit unexpected results for comparative compositions having the same flame retardants in amounts outside the range of claim 15.

Art Unit: 1755

This office action clearly indicates that the motivation to modify the '378 patent disclosure lies in the prior art teaching that additional materials such as magnesium oxide, talc and silica act as flame retardants. Every comparative example of the instant specification serves to confirm this observation that addition of a flame retardant to a polymeric composition retards flame.

Claims 8, 9 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 6107378 in view of US 6512174 and US 6720365.

The '378 patent teaches a method for forming housings for electronic appliances by compounding a biodegradable resin such as polylactic acid and a hydrolysis inhibitor such as an isocyanate or a carbodiimide. See examples 4-6. The difference between that patent and the instant claims is the addition of a flame retardant. The '174 patent teaches that flame retardants can be added to similar resins. (See column 5, line 28). Column 8, line 15 of 6720365 teaches the use of phosphorous compounds in making flame retardant compositions using similar resins. It would have been obvious to one of ordinary skill in the art to add a phosphorous compound to the composition of the '378 patent because the prior art teaches that it is useful in formulation of flame retardant resin compositions such as desired in the compounding of compositions for making housings for electronic appliances.

The percentage of phosphorous compound recited in claim 16 would have been obvious to one of ordinary skill in the art for the following reasons. Clearly, only simple experimentation would be required, on the order of mixing a series of compositions having different proportions of a known flame retardant, for one of ordinary skill in the art to obtain an optimal amount. Routine experimentation is within the level of ordinary skill in the art. Second, US 6512174 supports a finding that the art recognizes selection of the proportion of flame retardant being within the level of ordinary skill in the art in that column 5, lines 15-42 recite the possible addition of various known additives to polylactic acid polymers

Art Unit: 1755

without the necessity of recited particular amounts. Third, US 5258422, includes claim 18, assumed to be found fully enabled by the inventor thereof and the patent office, reciting addition of flame retardants to polymer compositions which is supported only by specification disclosure of flame retardant leaving the specific amounts up to the technician. Nor, does the instant specification exhibit unexpected results for comparative compositions having the same flame retardants in amounts outside the range of claim 16.

This office action clearly indicates that the motivation to modify the '378 patent disclosure lies in the prior art teaching that additional materials such as magnesium oxide, talc and silica act as flame retardants. Every comparative example of the instant specification serves to confirm this observation that addition of a flame retardant to a polymeric composition retards flame.

Claims 8, 9 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 6107378 in view of US 6512174 and US 2001/0018487.

The '378 patent teaches a method for forming housings for electronic appliances by compounding a biodegradable resin such as polylactic acid and a hydrolysis inhibitor such as an isocyanate or a carbodiimide. See examples 4-6. The difference between that patent and the instant claims is the addition of a flame retardant. The '174 patent teaches that flame retardants can be added to similar resins. (See column 5, line 28). Paragraph 53 of US 2001/0018487 teaches the use of 5-40 micron silica in making flame retardant resin compositions. It would have been obvious to one of ordinary skill in the art to add 5-40 micron silica to the composition of the '378 patent because the prior art teaches that it is useful in formulation of flame retardant resin compositions such as desired in the compounding of compositions for making housings for electronic appliances.

Art Unit: 1755

The percentage of silica compound recited in claim 17 would have been obvious to one of ordinary skill in the art for the following reasons. Clearly, only simple experimentation would be required, on the order of mixing a series of compositions having different proportions of a known flame retardant, for one of ordinary skill in the art to obtain an optimal amount. Routine experimentation is within the level of ordinary skill in the art. Second, US 6512174 supports a finding that the art recognizes selection of the proportion of flame retardant being within the level of ordinary skill in the art in that column 5, lines 15-42 recite the possible addition of various known additives to polylactic acid polymers without the necessity of recited particular amounts. Third, US 5258422, includes claim 18, assumed to be found fully enabled by the inventor thereof and the patent office, reciting addition of flame retardants to polymer compositions which is supported only by specification disclosure of flame retardant leaving the specific amounts up to the technician. Nor, does the instant specification exhibit unexpected results for comparative compositions having the same flame retardants in amounts outside the range of claim 17.

This office action clearly indicates that the motivation to modify the '378 patent disclosure lies in the prior art teaching that additional materials such as magnesium oxide, talc and silica act as flame retardants. Every comparative example of the instant specification serves to confirm this observation that addition of a flame retardant to a polymeric composition retards flame.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David M. Brunsman whose telephone number is 571-272-1365. The examiner can normally be reached on M, Th, F, Sa; 7:00-5:30.

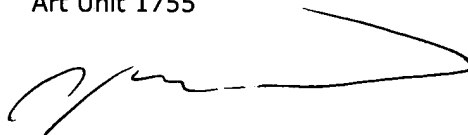
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jerry Lorengo can be reached on 571-272-1362. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 1755

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

David M Brunsman  
Primary Examiner  
Art Unit 1755

DMB

A handwritten signature in black ink, appearing to read 'D. Brunsman', with a long horizontal stroke extending to the right.